## **PATENT**

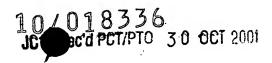
In re Application of

(Practitioner's Docket No. IN-5530)

## #3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

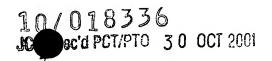
Bernhard LETTMANN	
US Serial No. Herewith	Group Art Unit: Not Assigned
Filed: October 30, 2001	Examiner: Not Assigned
For: AQUESOUS COATING MATERIAL AND M	 ODULAR SYSTEM FOR PRODUCING SAME
Commissioner for Patents Washington, D.C. 20231	
INFORMATION DISC Dear Sir:	LOSURE STATEMENT
Applicant(s) submit(s) herewith patents, pub aware that they believe may be material to the exam there may be a duty to disclose in accordance with 3	
	ement shall not be construed as a representation that mission that the information cited is, or is considered rial information exists. The filing of this Information dmission against interest in any manner.
X Submitted herewith is Form PTO-1449 (Mo information for consideration by the Office.	dified) listing patents, publications, or other
COPIES PROVIDED:	
X Legible copies of all items listed on Form P except as indicated below:	TO-1449 accompany this information statement,
	es on the earlier filing date of prior application Serial The following references were submitted to and/or and are therefore not required to be provided in this
	opy of only [] is being submitted with this mulative of the following patents or publications listed
FOREIGN LANGUAGE DOCUMENTS	
There are no foreign language documents l	listed on Form PTO-1449.



## Information Disclosure Statement Page 2

X	Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material: English Abstracts for: WO 94/22968; DE3321180; DE 3832142; EP 158 094; DE3739332; EP0614951; DE4301991; DE19709465; DE 44 21 823 A1
	No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
X	The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also

submitted herewith: 5,079,312 English for DE 3706 095 5,635,564 English for DE 38 41 540 CA 2,107,351 English for DE 411 0 520 4,046,729 English for DE 2624 442 ~ 5,726,258 English for DE19524 182 5,601,878 English for DE4222 194 4,895,910 English for DE3807 571 4,246,382 English for DE2848 906 5,690569 English for DE 197 09 476 5,028,639 English for DE 36 36 183 4,322,325 English for DE2926 584 5,326,820 English for DE4024 204 4,914,148 English for DE 354 618 5,210,154 English for DE 3813 866 4,322,325 English for DE 2926 584 4,005,961 English for DE 4005 961 4,851,460 English for DE 36 28 124 A1 5,516,559 English for DE 42 04 518 A1 DE 196 13 547; 5,965,213 English for 5,601,878 English for DE 42 22 194 A1; 4,489,135 English for DE 32 10 051 A1; 4,851,460 English for DE 36 28 124 A1: 6,159,556 English for DE19518 392 5,965,213 English for DE19613 547 EP 0 540 884 A1; 5,486,384 English for 5,425,970 English for EP 0 568 967 A2; 5,691,425 English for EP 0 522 419 A1; 5,71,678 English for WO 94/22969; 5,307,910 English for EP 0 355 433 A2 6,001,424 English for EP 0 730 613 B1 4,730,020 English for EP 0 195 931 A1 5,017,673 English for EP 0422 357 5,667,847 English for EP 301 300 ~ CA 2079498 English for EP 0 540 884 A1 CA 2,102,169 English for EP 0 522 420 A2; CA 2,073,115 English for EP 0 596 460 A2; 5,576,386 English for EP 0 554 783 A1 5,691,419 and 5,686,531 English for WO 92/22615; 4,558,090 and 4,489,135 English for EP 0 089 497 A2;



Information Disclosure Statement Page 3

X	Engli: satisf	nitted herewith is an English language version of a search report listing information not in the sh language and indicating the degree of relevancy found by the European Patent Office, in action of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the ance of non-English information.
	includ	icise explanation of the relevance of the following listed non-English language information is ed as presently understood by the individual designated in 37 C.F.R. §1.56(c) most edgeable about the content of the information as set forth below:
set fort		cise explanation of the relevance of the following listed non-English language information is above-identified specification at the following pages and line numbers:
CERTI	FICATIO	<u>ON</u> :
х	of the tapplication	information Disclosure Statement transmitted herewith is being filed <b>within</b> three (3) months filing date of the application or date of entry into the national stage of an international ation or before the mailing date of a first Office Action on the merits, whichever event occurs 7 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
	the filir applica occurre	Information Disclosure Statement transmitted herewith is being filed <b>after</b> three (3) months of a date of the application or the date of entry into the national stage of an international attion or after the mailing date of the first Office Action on the merits, whichever event ed last but <b>before</b> the mailing date of either a final action under 37 C.F.R. §1.113 or a of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify
	ci	ach statement of information contained in the Information Disclosure Statement was ted in a communication from a foreign patent office in a counterpart foreign application not ore than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); <b>OR</b>
		That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); <b>OR</b>
		Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the \$230.00 IDS submission fee to BASF Corporation <b>Deposit Account No. 23-3425</b> . A duplicate of this paper is enclosed.
	under	nformation Disclosure Statement transmitted herewith is being filed <b>After a Final Action</b> 37 C.F.R. §1.113, or <b>After a Notice of Allowance</b> under 37 C.F.R. §1.311, whichever is first, but <b>Before Payment of the Issue Fee</b> . Accordingly, I hereby certify that:
		Each statement of information contained in the Information Disclosure Statement was

	not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1) OR
Information Dis	That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); AND sclosure Statement
r ago 4	
	Applicant hereby petitions for consideration of this Information Disclosure Statement; 3: C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of \$130.00 to BASF Corporation <b>Deposit Account No. 23-3425</b> . A duplicate of this paper is enclosed.
	ommissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for fees due hereunder.
	Respectfully submitted,
	muli my
	mICHAEL f. moRGAN Reg No. 42,906
	BASF Corporation 26701 Telegraph Road
	Southfield, Michigan 48034-2442
Tel. No.: (248)	

US DEPARTMENT OF CHERCE PATENT AND TRADEMANN OFFICE

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

Atty. Docket No. IN-5530

In re Application of : LETTMANN

Serial No.: Herewith

Filed: October 30, 2001

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Examiner		L DOCUMENT AND ADED		TENT DOCUMENTS				
initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING	G DATE I OPRIATE
	AA	5,474,811	12/12/95	Rehfuss et al.	427	407.1	5/11	
	AB	5,356,669	10/18/94	Rehfuss et al.	427	4307.1	10/2	23/92
	AC	4,268,542	5/19/81	Sakakibara et al.	427	195	1/25	5/79
	AD	4,675,234	6/23/87	Sachs et al.	428	328	5/17	7/85
	AE	4,558,090	12/10/85	Drexler et al.	524	591	10/2	2/84
	AF	4,489,135	12/18/84	Drexler et al.	428	423.1	2/18	8/83
	AG	4,710,542	12/1/87	Forgione et al.	525	127	5/16	/86
	AH	4,444,954	04/24/84	Mels et al.	525	124	9/30	/82
	Al	5,079,312	1/7/92	Isozaki et al.	525	479	5/1/9	90
	AJ	4,223,357	9/16/80	Vano	358	264	10/2	3/78
	AK	4,005,961	2/1/77	Manceau	425	190	8/26	/75
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xaminer nitial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	SLATION I NO
	AL	0 594 071 A1 -	15.10.93	EP	C09D	201/02	X	
	АМ	0 594 142 A1 _	20.10.93	EP	C08L	57/12	X	
	AN	0 604 9 <b>2</b> 2 A1 、	23.12.93	EP	C08K	5/3492	X	
	AO	WO 94/22969 .	13.10.94	EP	C09D	133/06		X
	AP	2073115	92.07.03	CA	C08L	075/14	X	
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	AT	English Language	Abstract for	DE4301991				
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\*Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF CONTROL PATENT AND TRADEMANN OFFICE

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

Atty. Docket No. IN-5530

In re Application of : LETTMANN

Serial No.: Herewith

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Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,210,154	5/11/154	Weidemeier et al.	525	438	4/18/89
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	AD	4,914,148	4/3/90	Hille et al.	524	507	10/11/86
	AE	5,326,820	7/5/94	Hoffmann et al.	525	123	7/24/91
	AF	5,028,639	7/2/91	Treutlein et al.	523	200	10/31/90
	AG	5,690,569	11/25/97	Ledvina et al.	474	111	3/13/96
	AH	4,730,020	3/8/88	Wilfinger et al.	524	555	2/27/86
	Al	4,851,460	7/25/89	Stranghöner et al.	523	407	8/18/87
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<del></del>	AK	5,370,910	12/6/94	Hille et al.	427	407.1	7/24/89
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	AL	WO92/22615 .	23.12.92	EP	C09D	151/08	X
	AM	0 089 497 A2 .	21.02.83	EP	C09D	3/72	X
	AN	WO 99/50359 ,	07.10.99	EP	C09D	5/00	X
	AO	196 13 547 .	07.11.96	DE	C09D	5/46	X
	AP	42 22 194	13.01.94	DE	C09D	5/46	X
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

10/018336

FORM PTO-1449 (MODIFIED)

US DEPARTMENT OF OFFICE PATENT AND TRADEMAN OFFICE

Atty. Docket No. IN-5530

c'd PCT/PTO 3 0 OCT 2001

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

In re Application of: LETTMANN

Serial No.: Herewith

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AA	5,516,559	5/14/96	Röckrath er al.	427	407.1	2/3/9	93
AB	4,046,729	9/6/77	Scriven et al.	260	29.2TN	6/2/7	75
AC	5,667,847	9/16/97	Muller et al.	427	385.5	5/10	/93
AD	5,635,564	6/3/97	Wieditz et al.	525	194	6/24	/94
AE	5,726,258	2/11/97	Kranig et al.	427	386	6/23	/95
AF	5,601,878	3/10/98	Fischer et al.	526	64	7/1/9	96
AG	4,130,577	12/19/78	Nagato et al.	260	453	10/2	5/77
AH	4,719,132	1/12/88	Porter, Jr.	427	409	4/29	/87
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AJ	5,605,965	2/25/97	Rehfuss et al.	525	100	11/3	/94
AK	5,672649	9/30/97	Brock et al.	524	507	9/4/9	96
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AM	WO93/17060 .	02.09.93	EP	C08G	63/02	X	-
AN	WO94/10212	11.05.94	EP	C08F	8/30	X	
AO	WO94/10213 .	11.05.94	EP	C08F	8/30	X	
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

10/018336 1043 A PET/PTO 30 OCT 2001

FORM PTO-1449 US DEPARTMENT OF CONTROL (MODIFIED) US DEPARTMENT OF CONTROL (MODIFIED) PATENT AND TRADEMANN OFFICE

Atty. Docket No. IN-5530

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

In re Application of: LETTMANN

Serial No.: Herewith

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	AA	4,895,910	1/23/90	Isozaki et al.	525	326.5	2/29	/88
	AB	4,246,382	1/20/81	Honda et al.	526	79	11/6	/78
	AC	5,965,213	10/12/99	Sacharski et al.	427	475	10/1	7/96
	AD	6,159,556	12/12/00	Möller et al.	427	475	11/2	8/96
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	AN	0 596 460 A2 .	03.11.93	EP	C09D	201/00		X
	AO	0 245 700 A2 \	29.04.87	EP	C07D	251/54	X	
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

10/018336

FORM PTO-1449 (MODIFIED) US DEPARTMENT OF O ERCE OFFICE

Atty. Docket No. IN-5530

LIST OF ART CITED BY APPLICANT (Use sever of sheets if necessary)

In re Application of: LETTMANN

Serial No.: Herewith

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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF CHERCE PATENT AND TRADEMANN OFFICE

Atty. Docket No. IN-5530

10/018336

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(Use sever of sheets if necessary)

In re Application of : LETTMANN

Serial No.: Herewith

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	AO	0 730 613 B1 -	18.11.94	EP	C08F	290/14	X
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF COURCE PATENT AND TRADEMARK OFFICE

10/018336 Atty. Docket No. IN 1913 PCT/PTO 30 OCT 2001

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

In re Application of : LETTMANN

Serial No.: Herewith

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	AL	36 28 124 A1	03.03.88	DE	C09D	3/727	X
	AM	0 195 931 A1	19.02.86	EP	C09D	3/80	X
	AN	44 21 823 A1 .	04.01.96	DE	C09D	175/04	X
	AO	0 249 201 A2 ·	10.06.87	EP ·	C09D	3/58	X
	AP	0 358 153 B1 .	04.09.89	EP .	C09D	143/04	X
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF CHERCE PATENT AND TRADEMANK OFFICE

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In re Application of: LETTMANN

Serial No.: Herewith

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	AL	0 698 773 A1	21.08.95	EP	F28D	1/03	X
	AM	0 424 705 A2	04.10.90	EP	C08F	283/00	X
	AN	0 101 832 A1 ,	01.07.83	EP	C07C	125/073	X
	AO	0 038 127 A1 ,	24.01.81	EP	B05D	7/26	X
	AP	32 10 051 A1 ،	29.09.83	DE	C09D	3/72	X
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF COURSE PATENT AND TRADEMARK OFFICE

LIST OF ART CITED BY APPLICANT

(Use sever of sheets if necessary)

Atty. Docket No. IN-5530

In re Application of: LETTMANN

Serial No.: Herewith

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	AL	195 24 182 A1 .	09.01.97	DE	C08F	212/08	X			
	AM	42 22 194 A1	13.01.94	DE	C09D	5/46	X			
<del></del>	AN	43 01 991 A1 .	28.07.98	DE	C09D	5/28	X			
	AO	0 554 783 A1 .	28.01.93	EP	B01J	19/18	X			
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

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LIST OF ART CITED BY APPLICANT

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In re Application of: LETTMANN

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	AL	197 09 465 A1.	17.09.98	DE	B05D	7/26		X		
	AM	38 07 571 A1 ,	22.09.88	DE	C08L	83/07		X		
	AN	28 48 906 A1 .	17.05.79	DE	C08F	20/18		X		
	AO	197 09 476 A1 .	06.11.97	DE	F16H	7/18		X		
	AP	0 276 501 A2 .	26.09.87	EP	C11D	1/42	X			
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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF OFFICE PATENT AND TRADEMANN OFFICE

Atty. Docket No. IN-5550

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LIST OF ART CITED BY APPLICANT

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<sup>\*</sup>Applicant has modified this form by deleting the word "prior".

US DEPARTMENT OF OFFICE PATENT AND TRADEMANK OFFICE

Atty. Docket No. IN-5530

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In re Application of: LETTMANN

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